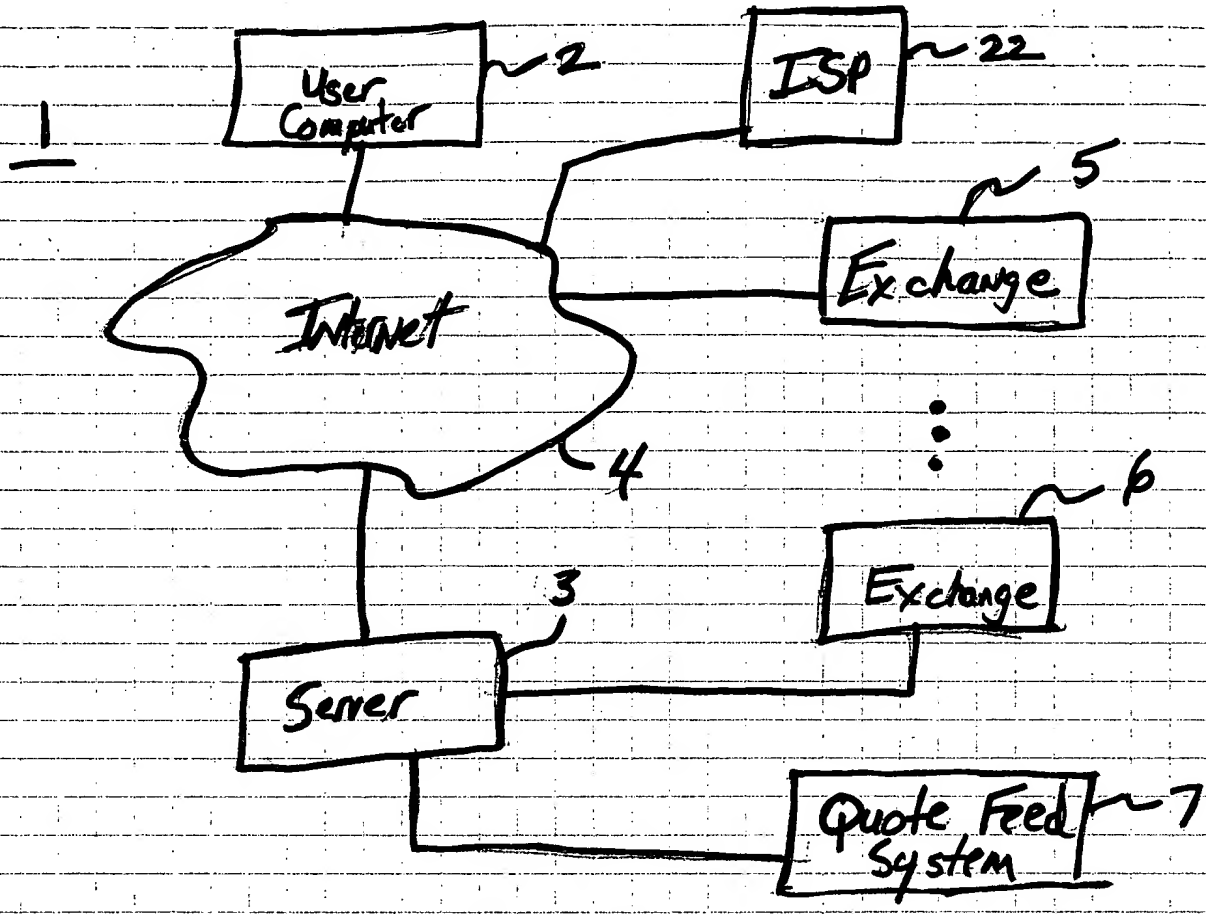
1
User Computer
2
ISP
22
5
Exchange
Internet
4
6
Exchange
3
Server
Quote Feed System
7

Figure1

Web State Diagram for IF Operations

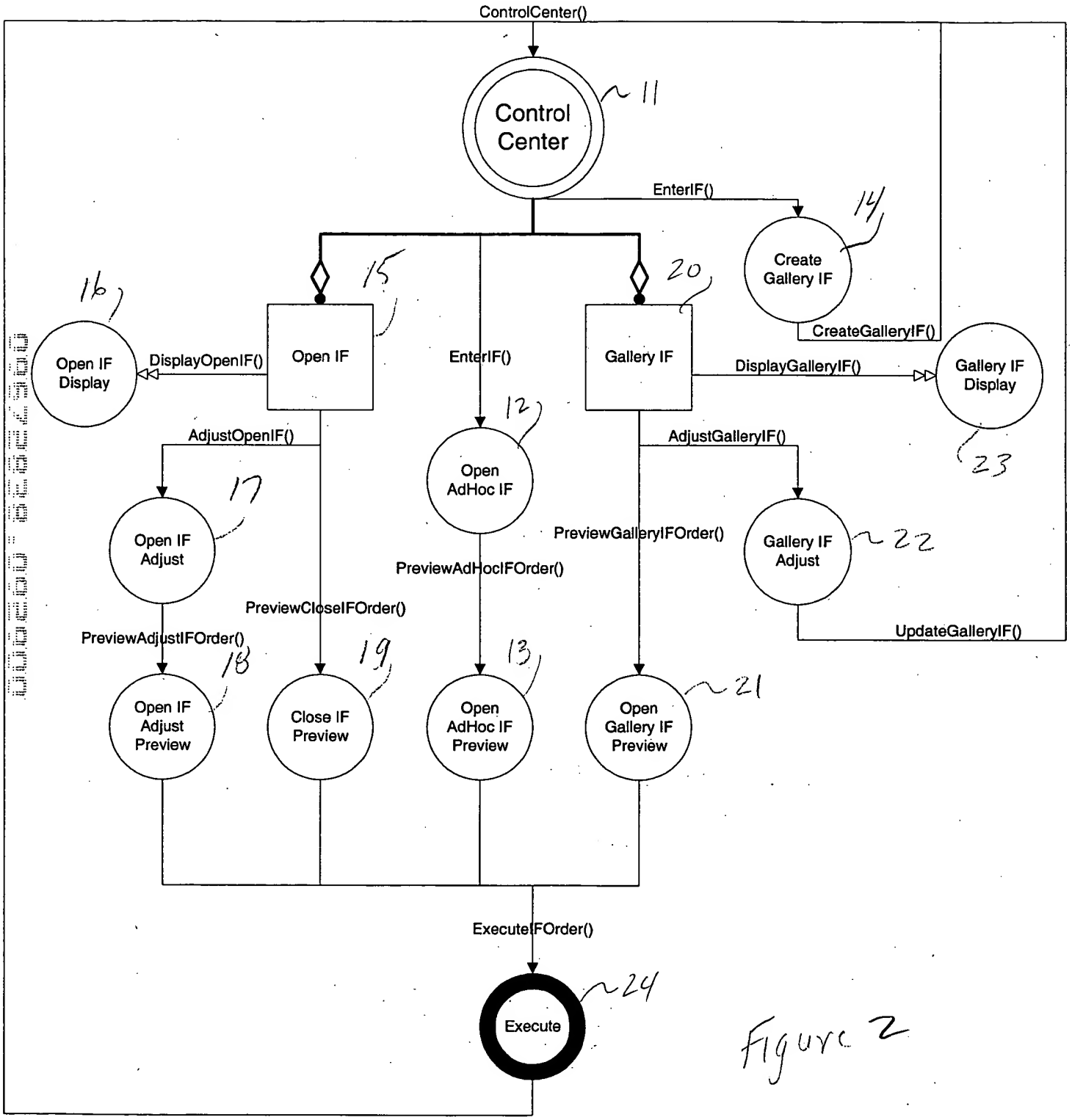

Figure 2

Figure 3

[unx.com]/account selection

30

Account ID	Type	Status	Customer ID	Customer Name	Access Level	
100026	Cash	Open	100013	UNX.COM, Inc.	Inquiry	Select

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Exchange are Trademarks of UNX.COM, Inc.

Figure 4

[unx.com]/control center

Account: 100026
Customer: UNX.COM, Inc. (100013)

58?

control center

60

open IF position

62

create gallery IF

64

account history

66

asset search

log-out

68

45 Auto Update ☐ 40

Account Summary

Account Balance	$491,146.93	Available Funds	$491,146.93	Long Stock Value	$10,102.00	← 42	
Account Value	$501,248.93	Buying Power	$491,146.93	Short Stock Value	$0.00		

Open IF Positions

Adjust	Name	ID	Date	Status	Initial NAV	Current NAV	Gain/Loss	Close
Adjust	Tech Trio	1564	06/12/2000	F	$9,847.12 70.3366	$10,102.00 72.1571	$254.87	Close

48 50 44

46

C - Cancelled F - Filled P - Partial W - Working
Click on an IF Name to display details.

IF Gallery

54

Adjust	Name	ID	Date	Side	Type	IFI	CMV	Open
Adjust	DOW	1265	06/08/2000	Long	CAP	NAV	$200,000.00	Open
Adjust	Foss Borther's Small Cap	1286	06/06/2000	Long	CAP	100	$50,000.00	Open
Adjust	Fred's Basket	1314	06/15/2000	Long	CAP	NAV	$50,000.00	Open
Adjust	LIMCO Fund	1303	06/07/2000	Long	USR	NAV	$62,462.50	Open
Adjust	Matt's Top 20	1307	06/08/2000	Long	DLR	NAV	$50,000.00	Open
Adjust	peter's basket	1312	06/14/2000	Long	CAP	NAV	$50,000.00	Open
Adjust	PSM Stocks	1302	06/07/2000	Long	CAP	NAV	$50,000.00	Open
Adjust	rob's if	1310	06/13/2000	Long	CAP	NAV	$100,000.00	Open
Adjust	SAAFT End Basket	1304	06/07/2000	Long	DLR	NAV	$50,000.00	Open
Adjust	Utilities	1123	05/03/2000	Long	USR	NAV	$188,275.75	Open
Adjust	Wiley's pick	1308	06/08/2000	Long	DLR	NAV	$500,000.00	Open

56 ← 52

Adjust	XClmod	1293	06/06/2000	Long	DLR	NAV	$250,000.00	Open

Gallery IFs are staged for fast execution but do not represent open positions.
Click on an IF Name to display details.

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Fig 4 cont.

Figure 5

[unx.com]/enter IF

Account: 100026
Customer: UNX.COM, Inc. (100013)

control center
open IF position
create gallery IF
account history
asset search
log-out

Open IF Position

80

IF Name:	JR (82)
Dollar Amount:	10000.00 (84)
ShareThreshold:	0 (86)
Buy or Sell:	Buy (90)
Asset Weighting:	Dollar (92)
Individual Fund Index Type:	NAV (Net Asset Value) (94)
Lot Size:	Odd Lots OK (96)

Symbols to Order (separated by spaces, commas or returns): (98)

csco ibm

User Defined IFs must have share quantities or composition percentages following each symbol.

Preview Order (102) Clear Order (100)

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[unx.com]/order preview

Account: 100026
Customer: UNX.COM, Inc. (100013)

Figure 6
220

control center
open IF position
create gallery IF
account history
asset search
log out

Open IF Order Preview

Preview generated at: 06/16/2000.11:16:31 Pacific Daylight Time

Symbol	Quantity	B/S	Current Price	Market Value
CSCO	74	B	*	$4,971.88
IBM	42	B	*	$4,887.75
JR	116	Open	84.9968	$9,859.62

222

Warning: Executing this order will submit orders for each asset listed in the quantities specified. The pricing information displayed is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your last chance to cancel the above order. This order is not pending and will not be executed unless you click the Execute Order button below.

Execute Order 224

Cancel Order 224

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Document 6 Session

[unx.com]/execution status

Account: 100026
Customer: UNX.COM, Inc. (100013)

control center

open IF position

create gallery IF

account history

asset search

log-out

IF Order Executing

Your order has been submitted for execution.

You should receive an order confirmation by email shortly. You may also navigate to the Account Summary page to view your current order status.

Your Individual Fund Order ID is: 1695

Account Summary

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Figure 7

228

Randy Abernethy

From: FLEXII@UNX.COM
Sent: Thursday, June 22, 2000 11:37 AM
To: ITAlert@unx.com, randy@unx.com,irene@unx.com
Subject: Confirmation for IF: 1695

IF Trade Confirmation

Broker: Universal Network Exchange, LLC.
Account ID: 100026
Customer Name: UNX.COM, Inc.
Customer ID: 100013
Contact ID: 100045
Position: Open
Trade Date: 06/22/2000
Settle Date: 06/27/2000
EMail Date: 06/22/2000 10:36:35 Pacific Daylight Time
EMail List: ITAlert@unx.com, randy@unx.com,irene@unx.com

ID	Symbol	Description	CUSIP	Time	Side	Shares	Price	Principal	Comm	Fee	Net Money
63223	AOL	AMERICA ONLINE INC.	02364J104	10:35:48	BUY	200	57.0000	11,400.00	10.00	0.00	11,410.00
63221	CSCO	CISCO SYSTEMS, INC.	17275R102	10:35:48	BUY	800	65.6250	52,500.00	20.00	0.00	52,520.00
63224	DELL	DELL COMPUTER CORPORATION	247025109	10:35:48	BUY	300	49.5000	14,850.00	10.00	0.00	14,860.00
63222	IBM	IBM	459200101	10:35:48	BUY	200	113.1250	22,625.00	10.00	0.00	22,635.00
1695		RBHot				1500	67.5833	101,375.00	50.00	0.00	101,425.00

229

Figure 8

/adjust SB

Account: 100000
Customer: Oscarito Family Limited Partnership (100003)

- conf
- oper
- crea
- acct
- asst
- log

Stock Basket Balancer

Inflow/Outflow: 0.00 (264)

Asset Weighting: Dollar (266)

Share Threshold: 0 (268)

Do not close to Rebalance: (270)

Round Lots Only: (272)

Symbols: (274)

NITE
INRS
DCLK
EXDS
YHOO
AEIS
CMGI
FIBR

Preview Order | Cancel Order (276)

(278)

Enter a positive Inflow/Outflow dollar (USD) amount to add principal to the SB. Enter a negative Inflow/Outflow dollar (USD) amount to remove principal from the SB. Use the "Share Threshold" to enter a minimum number of shares to trade. Select "Do not close to Rebalance" to keep (rather than trimming back) over balanced positions. Select Round Lots Only to round all trades to the nearest 100 shares. To add securities to the SB, enter additional symbols in the Symbols text box. To remove securities from the SB, remove symbols from the Symbols text box. All weighting mechanisms other than "User Defined" will automatically reweight assets in the SB.

Figure 9

260

262

Current Positions

Symbol	Quantity	B/S	Current Price	Market Value	
NITE	860	B		$29,777.50	Move
INRS	598	B		$30,199.00	Move
DCLK	894	B		$30,340.13	Move
EXDS	607	B		$29,705.06	Move

YHOO	313	B		$29,461.13	Move
AEIS	858	B		$30,030.00	Move
CMGI	1,003	B		$29,149.69	Move
FIBR	1,162	B		$29,631.00	Move
GNET	552	B		$29,670.00	Move
LCOS	427	B		$29,729.88	Move
B10	7,274		40.9257	$297,393.38	

Figure 9 cont.

[unx.com]/close IF

Account: 100026
Customer: UNX.COM, Inc. (100013)

Figure 10
280

control center
open IF position
create gallery IF
account history
asset search
log-out

Close IF Order

Preview generated at: 06/19/2000 15:33:00 Pacific Daylight Time

Symbol	Quantity	B/S	Current Price	Market Value	Close
CSCO	(89)	S	*	$6,129.88	☑
IBM	(22)	S	*	$2,631.75	☑
AOL	(29)	S	*	$1,576.88	☑
Tech Trio	140	Close	73.8464	$10,338.50	Uncheck

Warning: Executing this order will submit orders for each asset listed in the quantities specified. The Expected pricing information is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your last chance to cancel the above order. This order is not pending and will not be executed unless you click the Execute Order button below.

Execute Order

Cancel Order

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[unx.com]/search

Account: 100026
Customer: UNX.COM, Inc. (100013)

Figure 11
330

control center
open IF position
create gallery IF
account history
asset search
log-out

Asset Search

332

Asset Symbol: csco

Start Search

334

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[unx.com]/search results

Account: 100026
Customer: UNX.COM, Inc. (100013)

Figure 12

340

control center

open IF position

create gallery IF

account history

asset search

log-out

Asset Search

332

334

Asset Symbol: [ ] Start Search

342

Open Positions for Symbol: CSCO

344

ID	Entered At	Qty	Initial	Total	Current	Total	Gain/Loss	IF ID	Close
57708	06/12/2000 12:21:18	89	63.7500	$5,673.75	*	$5,985.25	$311.50	1564	Close
	Totals:	89	63.7500	$5,673.75	*	$5,985.25	$311.50		

346

Click on an IF ID to display IF details.

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UNX0000 0000000

[unx.com]/open IF

Account: 100026
Customer: UNX.COM, Inc. (100013)

380

Figure 13

382

control center

open IF position

create gallery IF

account history

asset search

log out

Open IF Order

Preview generated at: 06/16/2000 11:29:32 Pacific Daylight Time

Symbol	Quantity	B/S	Current Price	Market Value
AA	37	B	*	$1,184.00
AXP	69	B	*	$3,635.44
T	162	B	*	$5,447.25
BA	47	B	*	$1,844.75
CAT	18	B	*	$655.87
C	174	B	*	$10,918.50
KO	128	B	*	$7,136.00
DD	54	B	*	$2,558.25
EK	16	B	*	$953.00
XOM	180	B	*	$15,120.00
GE	512	B	*	$26,496.00
GM	32	B	*	$2,068.00
HD	119	B	*	$5,927.69
HON	41	B	*	$2,065.38
HWP	51	B	*	$5,925.56
IBM	91	B	*	$10,573.06
INTC	173	B	*	$22,273.75
IP	21	B	*	$694.31
JPM	8	B	*	$962.00
JNJ	72	B	*	$6,498.00
MCD	69	B	*	$2,233.88
MRK	119	B	*	$8,634.94
MSFT	272	B	*	$19,839.00
MMM	20	B	*	$1,712.50
MO	118	B	*	$3,127.00
PG	67	B	*	$3,760.38
SBC	176	B	*	$8,426.00
UTX	24	B	*	$1,443.00
WMT	231	B	*	$12,690.56
DIS	107	B	*	$4,453.88
DOW	**3,208**	**Open**	**62.1128**	**$199,257.94**

000260 6282960

Warning: Executing this order will submit orders for each asset listed in the quantities specified. The pricing information displayed is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your last chance to cancel the above order. This order is not pending and will not be executed unless you click the Execute Order button below.

Fig 13 continued

384

Execute Order

386

Cancel Order

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Figure 14

4/10

Open IF Detail

Broker: Universal Network Exchange, LLC.
Account ID: 100026
Position: Open

Customer Name: UNX.COM, Inc.
Customer ID: 100013
Display Date: 06/19/2000 15:29:13 Pacific Daylight Time

Assets | Executions

4/11

ID	Name/Symbol	Date/Time	Shares	Price	Principal	Comm	Fee	Net Money	CMV	Gain/Loss
1564	Tech Trio	06/12/2000 12:21:18	140	70.3366	9,847.12	30.00	0.00	9,877.12	10,364.19	487.06
57710	AOL	06/12/2000 12:21:18	29	52.8750	1,533.38	10.00	0.00	1,543.38	1,580.50	37.13
57708	CSCO	06/12/2000 12:21:18	89	63.7500	5,673.75	10.00	0.00	5,683.75	6,135.44	451.69
57709	IBM	06/12/2000 12:21:18	22	120.0000	2,640.00	10.00	0.00	2,650.00	2,648.25	(1.75)

(Click any row to collapse/expand children)

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4/12

Figure 15

420

Open IF Detail

Broker: Universal Network Exchange, LLC.
Account ID: 100026
Position: Open (422)

Customer Name: UNX.COM, Inc.
Customer ID: 100013
Display Date: 06/19/2000 15:29:13 Pacific Daylight Time

Assets | Executions

ID	Name/Symbol	Date/Time	Shares	Price	Principal	Comm	Fee	Net Money	CMV	Gain/Loss
1564	Tech Trio	06/12/2000 12:21:18	140	70.3366	9,847.12	30.00	0.00	9,877.12	10,364.19	487.06
57710	AOL	06/12/2000 12:21:18	29	52.8750	1,533.38	10.00	0.00	1,543.38	1,580.50	37.13
	AOL	06/12/2000 12:21:23	29	52.8750	1,533.38	10.00	0.00	1,543.38		
57708	CSCO	06/12/2000 12:21:18	89	63.7500	5,673.75	10.00	0.00	5,683.75	6,135.44	451.69
	CSCO	06/12/2000 12:21:35	89	63.7500	5,673.75	10.00	0.00	5,683.75		
57709	IBM	06/12/2000 12:21:18	22	120.0000	2,640.00	10.00	0.00	2,650.00	2,648.25	(1.75)
	IBM	06/12/2000 12:21:25	22	120.0000	2,640.00	10.00	0.00	2,650.00		

(Click any row to collapse/expand children)

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[unx.com]/history

[unx]/history

Universal Network Exchange

Account: 100000
Customer: Oscarito Family Limited Partnership (100003)

control center

open SB position

create gallery SB

account history

asset search

log-out

Account History

By SB

Start Date:	9/20/00	
Stop Date:	9/30/00	All SBs
IF Name:	DOW10	Name Lookup
IF ID:		ID Lookup

By Asset

Start Date:		
Stop Date:		All Assets
Symbol:		Symbol Lookup
Asset ID:		ID Lookup

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Exchange are Tradem

Figure 16a

PAGE 05
UNX BURBANK
8185595586
09/28/2000 21:44

[unx]/history

Universal Network Exchange

Account: 100000
Customer: Oscarito Family Limited Partnership (100003)

SB History

control center

open SB position

create gallery SB

account history

asset search

log-out

Name	ID	Open Date	Close Date	Buy Net/Open	Sell Net/CMV	Gain/Loss
B20	2797	09/28/2000	Open	$399,565.39	$400,015.09	$449.71
B10	2796	09/28/2000	Open	$300,104.18	$299,725.94	($378.24)
Qshort	2751	09/28/2000	09/28/2000	$74,175.00	$72,660.33	($1,514.67)
T5	2750	09/27/2000	Open	$499,867.01	$511,884.67	$12,023.66
B20	2717	09/22/2000	09/25/2000	$400,014.22	$398,989.12	($1,025.10)
Nshort	2684	09/21/2000	Open	($39,080.73)	($40,937.50)	($1,856.77)
			Total:	$1,634,639.07	$1,642,337.66	$7,698.58

Click on an SB ID to display SB details.

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Figure 16b

PAGE 06
UNX BURBANK
8185595586
09/28/2000 21:44

[unx]/history

Universal Network Exchange

Account: 100000
Customer: Oscarito Family Limitec Partnership (100003)

SB History

control center

open SB position

create gallery SB

account history

asset search

log-out

Name	ID	Open Date	Close Date	Buy Net/Open	Sell Net/CMV	Gain/Loss
B10	2796	09/23/2000	Open	$300,104.18	$299,725.94	($378.24)
B10	2669	09/19/2000	09/21/2000	$301,200.58	$292,514.83	($8,685.75)
B10	2584	09/13/2000	09/15/2000	$300,050.74	$293,843.83	($6,203.91)
B10	2519	09/07/2000	09/08/2000	$299,165.44	$286,076.13	($13,089.31)
B10	2439	08/30/2000	09/05/2000	$299,663.23	$298,273.26	($1,389.97)
B10	2426	08/28/2000	08/29/2000	$300,553.78	$298,169.53	($2,384.26)
B10	2272	08/11/2000	08/25/2000	$301,240.60	$329,694.75	$28,454.15
B10	2186	08/04/2000	08/09/2000	$300,157.49	$308,520.16	$8,362.67
B10	2127	07/31/2000	08/01/2000	$300,394.14	$292,036.81	($8,357.33)
B10	2051	07/25/2000	07/27/2000	$300,276.22	$296,020.69	($4,255.53)
B10	2009	07/20/2000	07/21/2000	$300,309.42	$287,693.01	($12,616.41)
			Total:	$3,303,115.60	$3,282,571.92	($20,543.69)

Click on an SB ID to display SB details.

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Figure 16C

#17

PAGE 07
UNX BURBANK
8185595586
09/28/2000 21:44

[unx]/history

Universal Network Exchange

Account: 100000
Customer: Oscarbo Family Limited Partnership (100003)

SB History

control center

open SB position

create gallery SB

account history

asset search

log-out

Name	ID	Open Date	Close Date	Buy Net/Open	Sell Net/CMV	Gain/Loss
T5	2750	09/27/2000	Open	$499,861.01	$511,884.67	$12,023.66
			Total:	$499,861.01	$511,884.67	$12,023.66

Click on an SB ID to display SB details.

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Figure 16d

PAGE 08
UNX BURBANK
8185595586
09/28/2000 21:44

[unx]/history

Universal Network Exchange

Figure 16e

Account: 100000
Customer: Oscarilo Family Limited Partnership (100003)

Asset History

control center
open SB position
create gallery SB
account history
asset search
log-out

ID	Symbol	Entered At	Qty	Price	Total	IF ID
109982	EXDS	09/28/2000 12:53:59	405	49.3438	$19,984.22	2797
109983	RNWK	09/28/2000 12:53:59	492	40.5938	$19,972.13	2797
109980	PVN	09/28/2000 12:53:59	154	129.6250	$19,962.25	2797
109981	RSAS	09/28/2000 12:53:59	437	45.8072	$20,017.75	2797
109979	ITWO	09/28/2000 12:53:59	104	190.5000	$19,812.00	2797
109978	MWD	09/28/2000 12:53:59	222	90.0000	$19,980.00	2797
109977	LCOS	09/28/2000 12:53:59	284	70.2188	$19,942.13	2797
109976	FWJ	09/28/2000 12:53:59	285	70.1875	$20,003.44	2797
109974	MER	09/28/2000 12:53:59	306	65.1875	$19,947.38	2797
109975	SCH	09/28/2000 12:53:59	569	35.1250	$19,986.13	2797
109972	CMGI	09/28/2000 12:53:59	668	29.9063	$19,977.38	2797
109973	INKT	09/28/2000 12:53:59	168	118.3817	$19,888.13	2797
109971	TERN	09/28/2000 12:53:59	576	34.8422	$20,069.13	2797
109970	DLJ	09/28/2000 12:53:59	223	89.4375	$19,944.56	2797
109969	MJSE	09/28/2000 12:53:59	95	209.5000	$19,902.50	2797
109967	AFCI	09/28/2000 12:53:59	525	38.0423	$19,972.34	2797
109968	VIXT	09/28/2000 12:53:59	470	42.5000	$19,975.00	2797
109966	LEH	09/28/2000 12:53:59	135	147.2500	$19,878.75	2797
109965	MRVC	09/28/2000 12:53:59	421	47.8539	$20,146.50	2797
109964	ZRAN	09/28/2000 12:53:59	384	52.0303	$19,979.63	2797
109962	CNET	09/28/2000 12:53:52	552	54.3125	$29,980.50	2796
109963	LCOS	09/28/2000 12:53:52	427	70.1329	$29,946.75	2796
109960	CMGI	09/28/2000 12:53:52	1,003	29.9219	$30,011.63	2796

PAGE 09 UNX BURBANK 8185595586 09/28/2000 21:44

109961	FIBR	09/28/2000 12:53:52	1,162	25.8125	$29,994.13	2796
109959	AEIS	09/28/2000 12:53:52	858	35.0000	$30,030.00	2796
109957	EXDS	09/28/2000 12:53:52	607	49.3438	$29,951.66	2796
109958	YHOO	09/28/2000 12:53:52	313	95.7300	$29,963.50	2796
109956	DCLK	09/28/2000 12:53:52	894	33.5638	$30,006.06	2796
109955	INRS	09/28/2000 12:53:52	598	50.2398	$30,043.38	2796
109954	NITE	09/28/2000 12:53:52	860	34.8750	$29,992.50	2796
108484	NOK	09/28/2000 11:58:49	500	41.1875	$20,593.75	2384
108483	NOK	09/28/2000 11:55:16	500	41.1875	$20,593.75	2384
108482	QCOM	09/28/2000 10:53:52	500	74.8750	$37,437.50	2751
108481	QCOM	09/28/2000 09:01:49	500	73.4250	$36,712.50	2751
108480	NOK	09/28/2000 08:54:37	2,000	40.3750	$80,750.00	2684
108479	SUNW	09/28/2000 08:45:52	156	120.4374	$18,788.24	2750
108477	MSFT	09/28/2000 08:45:52	314	61.6222	$19,349.38	2750
108478	ORCL	09/28/2000 08:45:52	263	79.3453	$20,867.81	2750
108476	INTC	09/28/2000 08:45:52	447	44.2220	$19,767.25	2750
108475	CSCO	09/28/2000 08:45:52	371	56.9375	$21,123.81	2750
108474	NOK	09/28/2000 07:34:12	(1,000)	39.5625	($39,562.50)	2684
108473	QCOM	09/28/2000 07:00:46	(1,000)	72.6878	($72,687.75)	2751
108472	NOK	09/28/2000 06:59:54	(1,000)	39.3750	($39,375.00)	2684
108471	SUNW	09/27/2000 12:56:57	677	118.0625	$79,928.31	2750
108469	MSFT	09/27/2000 12:56:57	1,318	60.6563	$79,944.94	2750
108470	ORCL	09/27/2000 12:56:57	1,002	79.7813	$79,940.81	2750
108467	CSCO	09/27/2000 12:56:57	1,393	57.4103	$79,972.94	2750
108468	INTC	09/27/2000 12:56:57	1,824	43.8438	$79,971.00	2750
		Totals:	22,962		($1,243,378.20)	

Figure 16e (continued)

Click on an IF ID to display IF details.

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[unx]/history
Universal Network Exchange

Account: 100003
Customer: Oscarito Family Limited Partnership (100003)

Asset History

control center
open SB position
create gallery SB
account history
asset search
log-out

ID	Symbol	Entered At	Qty	Price	Total	IF ID
108475	CSCO	09/28/2000 06:45:52	371	56.9375	$21,123.81	2750
108467	CSCO	09/27/2000 12:56:57	1,393	57.4106	$79,972.94	2750
105968	CSCO	09/21/2000 12:58:51	(969)	61.1460	($59,252.37)	2668
105258	CSCO	09/21/2000 07:05:43	(852)	62.0625	($40,464.75)	2668
		Totals:	43		($1,379.63)	

Click on an IF ID to display IF details.

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Figure 16f

[unx]/history

Universal Network Exchange

Account: 100000
Customer: Oscarito Family Limited Partnership (100003)

Asset History

control center
open SB position
create gallery SB
account history
asset search
log-out

ID	Symbol	Entered At	Qty	Price	Total	IF ID
105258	CSCO	09/21/2000 07:05:43	(652)	62.0625	($40,464.75)	2368
		Totals:	(652)		$40,464.75	

Click on an IF ID to display IF details.

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Figure 16g

[unx.com]/move

Account: 100026
Customer: UNX.COM, Inc. (100013)

control center

open IF position

create gallery IF

account history

asset search

log-out

Current IF: Aggressive Growth (3293)

Asset: 1,200 MSFT

Select target IF from Gallery

Name	ID	Date	Side	Type	IFI	CMV	Move To:
DOW	1265	07/25/2000	Long	PRI	NAV	$200,000.00	Preview
Growth 10	1345	06/29/2000	Long	CAP	NAV	$100,000.00	Preview
max basket	1403	07/17/2000	Long	DLR	NAV	$10,000.00	Preview
PSM Stocks	1302	06/27/2000	Long	CAP	NAV	$50,000.00	Preview
Wired	1317	06/27/2000	Short	DLR	NAV	$100,000.00	Preview

512

Gallery IFs are staged for fast execution but do not represent open positions.
Click on an IF Name to display details.

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Figure 17

510

[unx.com]/rotate

Account: 100026
Customer: UNX.COM, Inc. (100013)

control center

open IF position

create gallery IF

account history

asset search

log-out

Current IF: Aggressive Growth (3293)

Select target IF from Gallery

Name	ID	Date	Side	Type	IFI	CMV	Rotate To:
DOW	1265	07/25/2000	Long	PRI	NAV	$200,000.00	Preview
Growth 10	1345	06/29/2000	Long	CAP	NAV	$100,000.00	Preview
max basket	1403	07/17/2000	Long	DLR	NAV	$10,000.00	Preview
PSM Stocks	1302	06/27/2000	Long	CAP	NAV	$50,000.00	Preview
Wired	1317	06/27/2000	Short	DLR	NAV	$100,000.00	Preview

552

Gallery IFs are staged for fast execution but do not represent open positions.
Click on an IF Name to display details.

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550

Figure 18

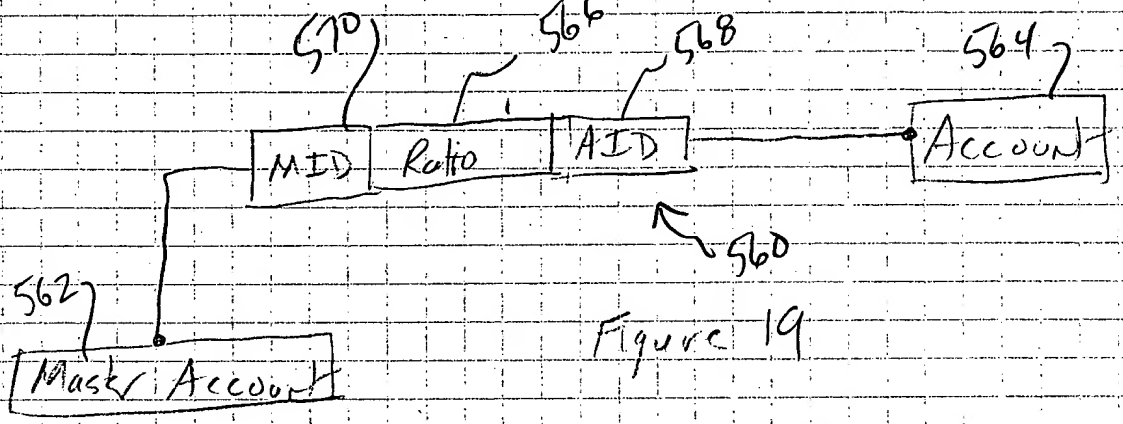
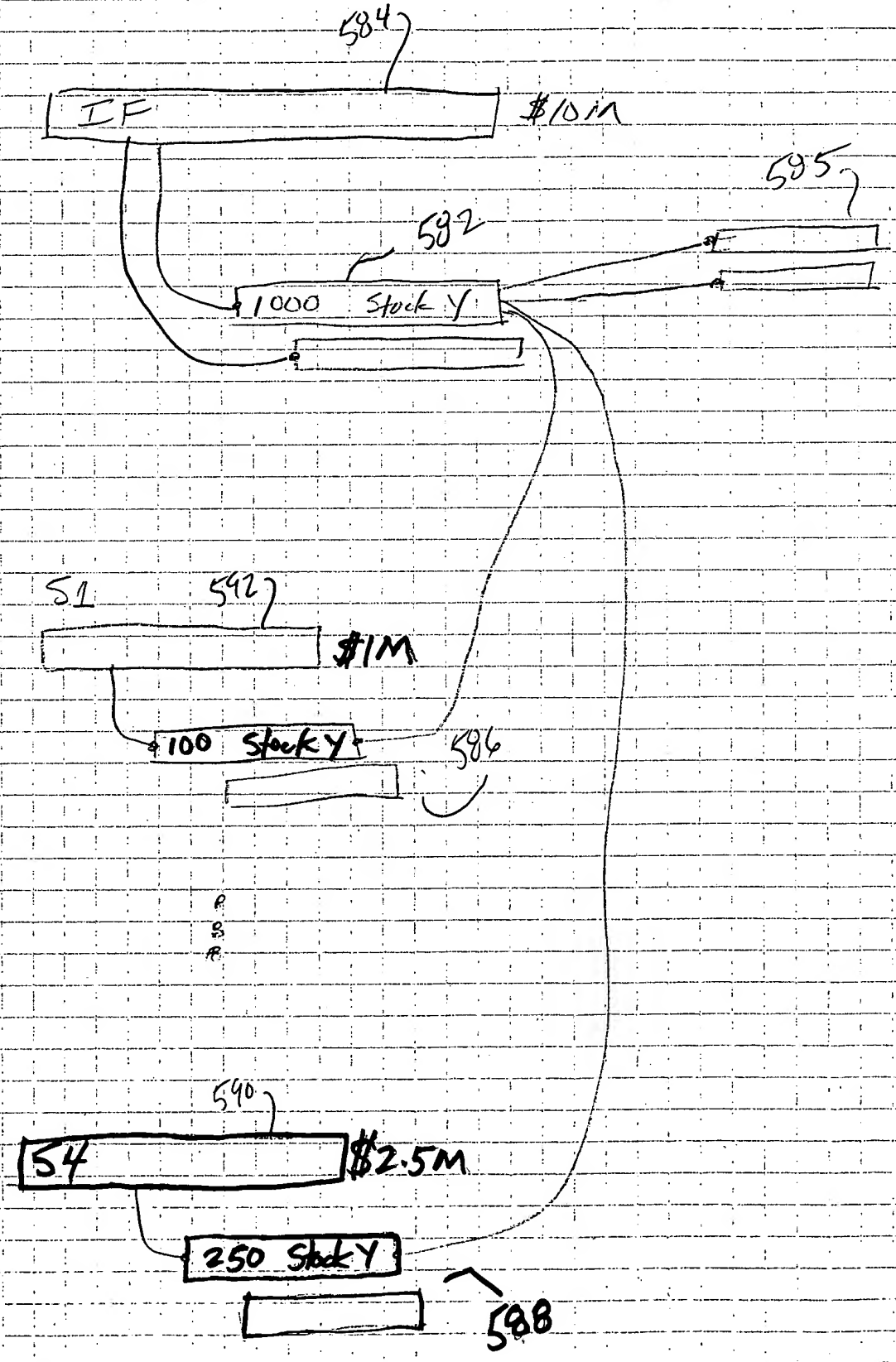


Figure 19

Figure 20


584
IF
$10M
595
592
1000 Stock Y
51
592
$1M
100 Stock Y
586
590
54
$2.5M
250 Stock Y
588

[unx.com] /subaccount contribution

602

Account ID	Type	Status	Customer ID	Customer Name	Principal	
100012	Margin	Open	100013	Teldar Paper, Inc.	$65,000	View
100014	Margin	Open	100013	The Gecko Family	$1,235,000	View
100019	Cash	Open	100013	Darryl Smith	$55,000	View
100026	Cash	Open	100013	The Simpson Trust	$85,678	View

604

Accept

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600

Figure 21

[unx.com]/enter IF

Account: 100026
Customer: UNX.COM, Inc. (100013)

control center
open IF position
create gallery IF
account history
asset search
log-out

Open IF Position

IF Name:	Tech10 ~612
Maximum Dollar Amount:	1000000 ~614
Minimum Dollar Amount:	10000 ~616
Buy or Sell:	Buy ~618
Market Order:	○ } 620
Limit Order:	◉ }
Limit Order NAV:	75.50 ~622
Stop:	☑ ~624
Stop NAV:	75.00 ~626
Asset Weighting:	CAP ~628
Allowed Variance:	10 ~630
Time In Force:	99999 ~632

Symbols to Order (separated by spaces, commas or returns): 634

```
MSFT
INTC
DELL
CSCO
IBM
HWP
WCOM
CPQ
ORCL
SUNW
```

User Defined IFs must have share quantities or composition percentages following each symbol.

636 Preview Order

638 Clear Order

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Exchange are tradem

Figure 22

[unx.com]/gallery

Account: 100026
Customer: UNX.COM, Inc. (100013)

652

control center
open IF position
create gallery IF
account history
asset search
log-out

IF Gallery

Name	ID	Date	Side	Type	Last	Bid	Ask	Var	CMV	Open
DOW	1265	07/25/2000	Long	PRI	75.125 -	75.125	75.187	17,000	$200,000.00	Preview
Growth 10	1345	06/29/2000	Long	CAP	27.875 +0	27.750	27.875	15,000	$100,000.00	Preview
max basket	1403	07/17/2000	Long	DLR	86.125 -	86.000	86.187	0	$10,000.00	Preview
PSM Stocks	1302	06/27/2000	Long	CAP	71.500 +	71.375	71.500	0	$50,000.00	Preview
Wired	1317	06/27/2000	Short	DLR	93.375 +	93.250	93.500	0	$100,000.00	Preview

Gallery IFs are staged for fast execution but do not represent open positions.
Click on an IF Name to display details.

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650

Figure 23

[unx.com]/enter IF

Account: 100026
Customer: UNX.COM, Inc. (100013)

control center
open IF position
create gallery IF
account history
asset search
log-out

Open IF Position

IF Name:	Custom Routing
Dollar Amount:	100000
ShareThreshold:	50
Buy or Sell:	Buy
Asset Weighting:	Dollar
Individual Fund Index Type:	NAV (Net Asset Value)
Lot Size:	Odd Lots OK

666

Symbols to Order (separated by spaces, commas or returns):

```
INTC    #ISLD
CSCO    #SLKC
MSFT
DELL    #NITE
WCII
VRTS    #REDI
```

666
668
662
664

User Defined IFs must have share quantities or composition percentages following each symbol.

Preview Order Clear Order

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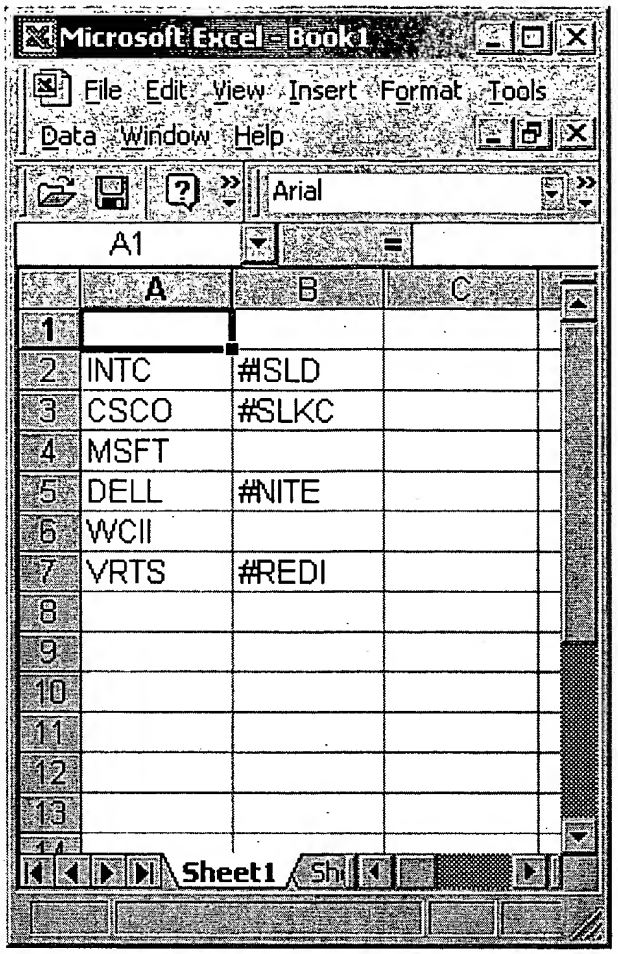
Exchange are Tradem

Figure 24



672

Figure 25

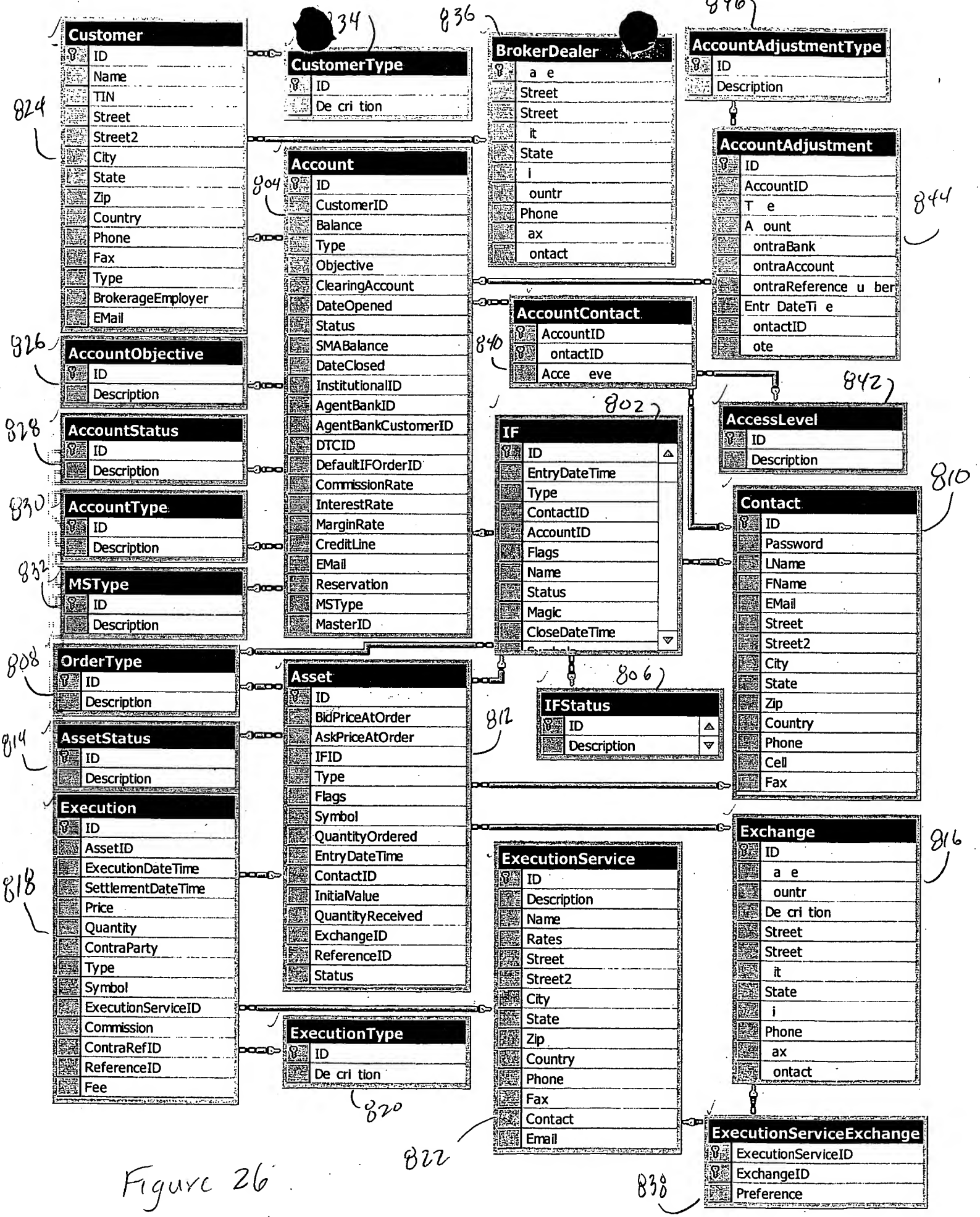

Customer
ID
Name
TIN
Street
Street2
City
State
Zip
Country
Phone
Fax
Type
BrokerageEmployer
EMail
824
CustomerType
ID
De cri tion
834
BrokerDealer
a e
Street
Street
it
State
i
ountr
Phone
ax
ontact
836
AccountAdjustmentType
ID
Description
846
AccountAdjustment
ID
AccountID
T e
A ount
ontraBank
ontraAccount
ontraReference u ber
Entr DateTi e
ontactID
ote
844
Account
ID
CustomerID
Balance
Type
Objective
ClearingAccount
DateOpened
Status
SMABalance
DateClosed
InstitutionalID
AgentBankID
AgentBankCustomerID
DTCID
DefaultIFOrderID
CommissionRate
InterestRate
MarginRate
CreditLine
EMail
Reservation
MSType
MasterID
804
AccountContact
AccountID
ontactID
Acce eve
840
AccessLevel
ID
Description
842
AccountObjective
ID
Description
826
AccountStatus
ID
Description
828
AccountType
ID
Description
830
MSType
ID
Description
832
IF
ID
EntryDateTime
Type
ContactID
AccountID
Flags
Name
Status
Magic
CloseDateTime
802
Contact
ID
Password
LName
FName
EMail
Street
Street2
City
State
Zip
Country
Phone
Cell
Fax
810
OrderType
ID
Description
808
Asset
ID
BidPriceAtOrder
AskPriceAtOrder
IFID
Type
Flags
Symbol
QuantityOrdered
EntryDateTime
ContactID
InitialValue
QuantityReceived
ExchangeID
ReferenceID
Status
812
IFStatus
ID
Description
806
AssetStatus
ID
Description
814
Execution
ID
AssetID
ExecutionDateTime
SettlementDateTime
Price
Quantity
ContraParty
Type
Symbol
ExecutionServiceID
Commission
ContraRefID
ReferenceID
Fee
818
ExecutionType
ID
De cri tion
820
ExecutionService
ID
Description
Name
Rates
Street
Street2
City
State
Zip
Country
Phone
Fax
Contact
Email
822
Exchange
ID
a e
ountr
De cri tion
Street
Street
it
State
i
Phone
ax
ontact
816
ExecutionServiceExchange
ExecutionServiceID
ExchangeID
Preference
838

Figure 26

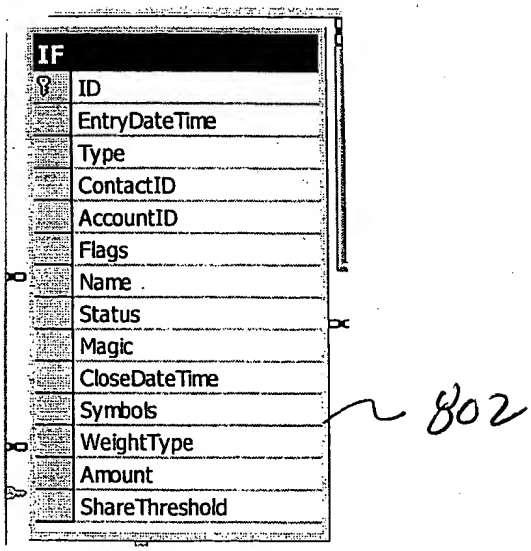

IF
ID
EntryDateTime
Type
ContactID
AccountID
Flags
Name
Status
Magic
CloseDateTime
Symbols
WeightType
Amount
ShareThreshold
802

Figure 26a

860

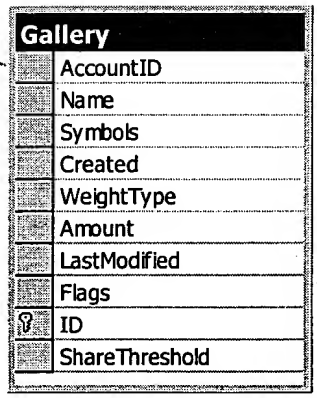


Figure 27